

Financial Advisers Act – Are you prepared?

INCLUDES
FREE
BOOK!

- » Is your organisation in the business of providing a financial service to customers in New Zealand?
- » Does your business sell financial products, offer credit to customers or provide insurance?
- » Do any of your employees provide financial advice?

If you answered yes to any of these questions, this is a seminar you can't afford to miss.

The Financial Advisers Act 2008 introduces stringent new measures to regulate the financial services industry and rebuild investor confidence in New Zealand. A wide range of businesses, including financial advisers, retailers, banks, finance companies, insurance companies and brokers, will be significantly affected by the new regime.

Presented by financial services specialists from leading law firm Bell Gully, this seminar will help organisations that provide financial services to prepare for the full implementation of the Financial Advisers Act 2008 (FAA) and the Financial Service Providers (Registration and Dispute Resolution) Act 2008 (FSP).

The seminar will identify who is affected by the new regime and outline the compliance obligations and challenges they will face.

Every seminar participant will receive, absolutely free, a copy of **New Zealand Financial Services Legislation** (RRP \$72.00 plus GST).

The book will be published and delivered when all relevant amendments and regulations have been passed.

BELL GULLY

Presented by the experts from Bell Gully.



Rachel Paris

Partner, Bell Gully

Rachel is a specialist financial services lawyer with experience across a broad range of capital markets and structured lending transactions.



Haydn Wong

Partner, Bell Gully

Haydn specialises in corporate and securities law with a focus on divestments and acquisitions, investment products, company and commercial law and energy projects.



David Craig

Partner, Bell Gully

David specialises in banking and finance law and is recognised as New Zealand's pre-eminent derivatives practitioner.

Get your questions answered by the experts.

THIS SEMINAR WILL:

- Provide an overview and background to the FAA and FSP
- Identify who is a financial adviser
- Explain when a financial adviser is required to be "authorised" as well as "registered"
- Spell out the obligations and liability faced by advisers
- Outline the competency standards for financial advisers
- Discuss the benefits of becoming a Qualifying Financial Entity (QFE) and the process for becoming one
- Explain the separate regime applying to brokers
- Provide a timetable of key dates for compliance
- **Include plenty of time for questions and answers.**

WHO SHOULD ATTEND?

- ✓ Financial advisers and planners
- ✓ Finance companies
- ✓ Retailers who provide consumer finance or insurance
- ✓ Insurance companies and brokers
- ✓ Banks and other lenders
- ✓ Brokers and share brokers
- ✓ Accountants and lawyers advising on any of the above.

Registration and event details

Registration opens 30 minutes before the seminar. Tea and coffee will be provided upon arrival. Confirmation of registration will be sent by email or post.

Spaces are limited, so book now!

See overleaf for booking details...



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18 May 2010	9:00am – 10:30am	Wellington	Wellington Chamber of Commerce	WLG10	
20 May 2010	9:00am – 10:30am	Christchurch	Christchurch Town Hall	CHC10	

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